

Requested Patent: WO9429983A1

Title:

POINT-TO-MULTIPOINT PERFORMANCE MONITORING AND FAILURE ISOLATION  
SYSTEM ;

Abstracted Patent: US5519830 ;

Publication Date: 1996-05-21 ;

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Application Number: US19930074913 19930610 ;

Priority Number(s): US19930074913 19930610 ;

IPC Classification: G06F11/00 ;

Equivalents:

AU5668694, AU687370, DE69326257D, DE69326257T, EP0702870, ES2135561T ;

ABSTRACT:

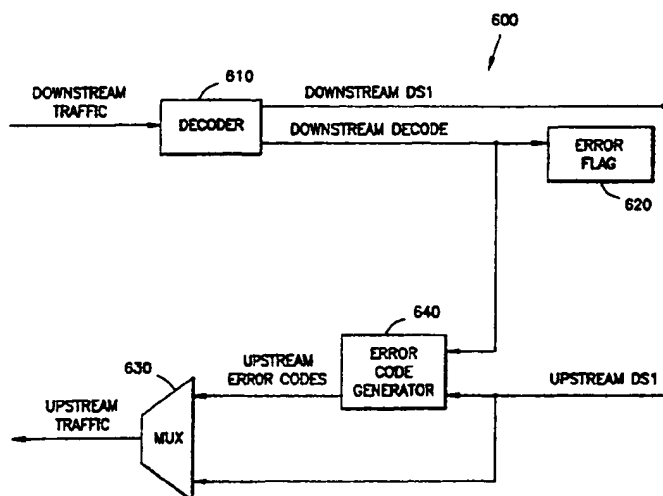
A system monitors the performance of a communications network and isolates the location of equipment failures therein through analysis of performance error data. The system passively monitors the performance of the network, operating in the background during normal data and/or voice transmission. The head end generates and inserts multiple error codes, one for each remote unit, into the downstream traffic path. Each remote unit extracts and decodes its respective error code to determine whether a downstream error occurred. Each remote unit then calculates a new error code based on the result of the downstream decode and the respective upstream data message. The head end receives the upstream error codes from all the remote units, decodes them, and accumulates the error data from all remote units over a period of time. An error distribution is generated, and an analysis is performed thereon to isolate the location of error causing equipment failures. The information thus obtained can then be used to initiate facility and equipment protection and/or appropriate maintenance procedures. The invention results in an increased quality of transmission with minimal network down time and minimal impact on overall system performance.



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification<sup>5</sup> : H04L 1/24, 12/26</p>	<p>A1</p>	<p>(11) International Publication Number: WO 94/29983 (43) International Publication Date: 22 December 1994 (22.12.94)</p>
<p>(21) International Application Number: PCT/US93/11048 (22) International Filing Date: 15 November 1993 (15.11.93) (30) Priority Data: 08/074,913 10 June 1993 (10.06.93) US (71) Applicant: ADC TELECOMMUNICATIONS, INC. [US/US]; 4900 West 76th Street, Minneapolis, MN 55435 (US). (72) Inventor: OPOCZYNSKI, Adam; 8911 Sylvan Ridge, Eden Prairie, MN 55347 (US). (74) Agent: BRUESS, Steven, C.; Merchant, Gould, Smith, Edell, Welter &amp; Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).</p>		<p>(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p>

(54) Title: PERFORMANCE MONITORING AND FAILURE ISOLATION IN A POINT-TO-MULTIPOINT COMMUNICATION NETWORK



## (57) Abstract

A system monitors the performance of a communications network and isolates the location of equipment failures therein through analysis of performance error data. The system passively monitors the performance of the network, operating in the background during normal data and/or voice transmission. The head end generates and inserts multiple error codes, one for each remote unit, into the downstream traffic path. Each remote unit extracts and decodes its respective error code to determine whether a downstream error occurred. Each remote unit then calculates a new error code based on the result of the downstream decode and the respective upstream data message. The head end receives the upstream error codes from all the remote units, decodes them, and accumulates the error data from all remote units over a period of time. An error distribution is generated, and an analysis is performed thereon to isolate the location of error causing equipment failures. The information thus obtained can then be used to initiate facility and equipment protection and/or appropriate maintenance procedures. The invention results in an increased quality of transmission with minimal network down time and minimal impact on overall system performance.

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PERFORMANCE MONITORING AND FAILURE ISOLATION IN A  
POINT-TO-MULTIPOINT COMMUNICATION NETWORK

Field of the Invention

5        This invention relates generally to the field of  
communications systems, and more particularly to a  
system for monitoring the performance of a passive  
distribution network connected in a point-to-multipoint  
configuration and for isolating the location of  
10    equipment failures therein.

Background of the Invention

Deployment of passive distribution systems, such as  
optical fiber, in the local telecommunications loop has  
15    opened opportunities for new types of services. Most of  
the new services target data transmission instead of  
voice. The major difference in the network requirements  
for digital data transmission versus voice transmission  
is in the quality of the transmission. The demand for  
20    high quality transmission and low outage time is forcing  
the new generation of systems to protect equipment as  
well as the passive distribution facilities.

The term "performance monitoring" is related to the  
quality of transmission over a network. One measure of  
25    a network's performance or transmission quality is the  
bit error rate (BER). Because of imperfections in the  
network and environmental conditions some data errors  
inevitably occur. However, equipment failures such as  
breakages, power loss, etc., can also cause data  
30    transmission errors to be introduced. BER is a measure  
of the number of errors which occur in a certain number  
of bits of transmission. For example, in a typical  
network the maximum acceptable BER is  $10^{-10}$ . This value  
means that one transmission error is allowed every  $10^{10}$   
35    bits. If the BER is greater than  $10^{-10}$  the quality of  
transmission is not acceptable.

In order to determine the BER means must be provided  
to detect errors that occur. Another desirable feature  
is a mechanism to pinpoint the locations of the

equipment failure which caused the errors so that equipment and protection facility switching can be achieved, or appropriate maintenance procedures performed.

5       A point-to-multipoint passive distribution network configuration consists of a head end connected to a single data path which splits into multiple branches, each branch associated with a unique remote unit. Communication between the head end and the multiple  
10 remote units is multiplexed on a passive distribution network (PDN) and each remote unit is programmed to extract and send data in a unique time slot. This means that all remote units share the single, or "feeder" section of the network, and that each have a dedicated  
15 branch, or "distribution" section of the network associated with it. One exemplary passive optical system is described in U.S. Patent Number 4,977,593, to Ballance, issued December 11, 1990 and assigned to British Telecommunications, which is incorporated herein  
20 by reference.

Performance monitoring of such a configuration represents a major challenge because the indication of an error in the error code does not itself identify the particular equipment which is causing the errors.

25 Because the multiple remote units share the feeder section of the PDN, in existing systems it is not possible to identify whether an error was introduced in the shared feeder, in a dedicated distribution branch or in one of the remote units themselves.

30       Typically, the location of an equipment failure is determined by downing all or part of the system and performing interactive diagnostic tests between the head end and the multiple remote units. This method, however, results in an undesirable and severe  
35 degradation in performance of the system as a whole. There is therefore a need in the art for a means of monitoring the performance of a PDN connected in a

point-to-multipoint configuration, which can isolate the location of equipment failures with minimal impact on overall system performance.

5

### Summary of the Invention

To achieve the goals described above, the present invention provides a system which monitors the performance and isolates the location of equipment failures in a PDN network arranged in a point-to-  
10 multipoint configuration. The system passively monitors the performance of the network, operating in the background during normal data and/or voice transmission. The system unintrusively monitors the system for errors and isolates whether an equipment failure responsible  
15 for generating the errors is located in the shared feeder section of the PDN, in one of the dedicated distribution sections of the PDN or in one of the remote units themselves.

To isolate the location of equipment failures in the  
20 network, the present invention recognizes that different equipment failures in a point-to-multipoint configuration result in unique error distributions as seen across all remote units over time. The invention uses these error distributions to isolate the location  
25 of error causing equipment failures in the network.

To generate the error distributions, the head end generates and inserts multiple downstream error codes, one for each remote unit, into the downstream traffic path. The remote units extract and decode their  
30 respective error code to determine whether a downstream error occurred. Each remote unit then sets an internal error flag indicating the result of the downstream decode result. Upstream error codes are generated by each remote unit from the respective upstream data  
35 message. The upstream error codes are then set to indicate an error if a downstream error was received. The head end receives the upstream error codes from all

the remote units, decodes them, and accumulates the error data from all remote units over a predetermined period of time. An error distribution representing the distribution of errors occurring across the entire  
5 system is generated from the accumulated error data. The error distribution is analyzed via software data processing methods to identify the type of error distribution occurring and to isolate therefrom the location of equipment failures which introduce the  
10 errors into the system. The location is isolated to either the shared feeder section of the PDN, to one of the distribution sections of the PDN or to one of the remote units. The information thus obtained can then be used to initiate facility and equipment protection  
15 and/or maintenance procedures. The system therefore results in an increased quality of transmission with minimal system down time and minimal impact on overall system performance.

20                    Brief Description of the Drawings

In the drawings, where like numerals refer to like elements throughout the several views:

Figure 1 shows a representative telecommunications system in block diagram form;

25                    Figure 2 shows a simplified block diagram of a representative telecommunications system, showing the locations of the feeder and distribution sections of the PDN network;

Figure 3 shows a block diagram of the relevant  
30 portions of HDT 300;

Figure 4 shows a block diagram of the relevant portions of RU 600;

Figure 5 shows a first type of error distribution which can occur in a point-to-multipoint  
35 system configuration;

Figure 6 shows a second type of error distribution;

Figure 7 shows a third type of error distribution;

Figure 8 shows a fourth type of error distribution;

5           Figure 9 shows a flow diagram of the error data processing methods used to isolate the location of equipment failures; and

          Figure 10 shows a flow diagram of the polling scheme used to determine whether an error was caused by  
10 a failure in the upstream or downstream data traffic path.

#### Detailed Description of the Preferred Embodiment

In the following detailed description of the  
15 preferred embodiment, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration a specific embodiment in which the invention may be practiced. This embodiment is described in sufficient detail to  
20 enable one skilled in the art to make and use the invention. It will be understood that other embodiments may be utilized and that structural changes may be made without departing from the spirit and scope of the present invention. The following detailed description  
25 is therefore not to be taken in a limiting sense, and the scope of the invention is to be defined by the appended claims.

A general description of a telecommunications system will now be given. Figure 1 shows a representative  
30 telecommunications system 100 in block diagram form. It shall be understood that other configurations are possible without departing from the scope of the present invention. The system includes a headend, or Host Digital Terminal (HDT) 300, which acts as an interface  
35 between a Local Digital Switch (LDS) 200 and multiple Passive Distribution Networks (PDN's) 500. In the preferred embodiment, HDT 300 receives and transmits



signals to LDS 200 using the well-known transmission format described in Bellcore document TR-TSY-000008, known as the TR-8 transmission format. The primary signal carried over this format is a DS1 signal, and the transmission system is the T1 digital transmission system. A DS1 signal consists of 24 groups of multiplexed eight-bit samples (DS0's) and one framing bit. Each eight-bit sample or DS0 represents an individual channel (a telephone conversation, for example). It shall be understood, however, that the performance monitoring scheme of the present invention could also be used in systems utilizing other interface formats such as OC-X, STS-X, etc., or a multitude of other transmission formats, without departing from the scope of the present invention.

Each HDT 300 includes termination points for the DS1 lines coming into the FDT 300, circuitry for converting from TR-8 format to PDN format, and circuitry which routes the incoming channels to the appropriate remote unit. The routed channels are then multiplexed to form the downstream traffic signals which are sent over PDN's 500 to their respective destinations.

The downstream optical signals are transmitted over PDN's 500, which in the case of a passive optical network consist of a network of optical fiber and passive optical splitters, and which terminate at a series of Remote Units (RU) 600 which are in the preferred optical system embodiment of an Optical Network Units, or ONU's. In the preferred embodiment, which uses optical fiber as the transmission medium, - each HDT 200 can interface to up to 128 RU's 600. However it shall be easily understood that any greater or lesser number could be used without departing from the scope of the present invention. Each RU 600 includes terminations for copper and fiber cables, electronics for signal conversion between PDN media (e.g. optical fiber, coaxial cable or other passive

transmission media) and subscriber in-house wiring, and electronics for multiplexing, digital-to-analog conversion, signalling and testing. RU's 600 can be physically located at each individual subscriber premise  
5 or in a curbside unit which is shared between multiple subscribers (as is shown in Figure 1), in which case each RU would house the interface to multiple subscriber telephone lines.

Figure 2 shows a simplified view of a representative  
10 telecommunications system connected in a point-to-multipoint configuration, including an HDT 300, PDN 500, and multiple RU's 600. Each PDN 500 is comprised of a shared feeder 520 which transmits multiplexed data messages to and from all RU's 600 to HDT 300. Each RU  
15 has an associated distribution path 540, or branch of the PDN dedicated to deliver and transit data messages to and from that RU.

Downstream 522 (from HDT 300 to RU's 600) and upstream 524 (from RU's 600 to HDT 300) data messages  
20 between HDT 300 and all RU's 600 are multiplexed over the shared feeder 520 section of the PDN 500. The present invention can be used with either Time Division Multiplexing (TDM), Code Division Multiplexing (CDM), or any other appropriate multiplexing scheme. For the sake  
25 of illustration the preferred embodiment will be described with respect to TDM. Splitter 530 splits PDN 500 into multiple branches or distribution 540 sections, each associated with a particular RU 600. The RU's are programmed to extract downstream messages and insert  
30 upstream messages into the appropriate TDM slot for that RU as is well-known in the art. HDT 300 is programmed to insert data bound for a particular RU into the appropriate downstream time slot. Upstream messages received at HDT 300 from the RU's 600 are identified as  
35 to their source by the location of the messages in the TDM frame.

Performance monitoring in a point-to-multipoint

system such as that shown in Figures 1 and 2 represents a major challenge. Equipment failures in the PDN network can occur which cause errors to be introduced in the downstream and upstream data messages. Each RU in a point-to-multipoint system does not have a dedicated communication link to HDT 300. Instead all RU's have a common path to HDT 300, that is shared feeder 520. This makes it difficult in a point-to-multipoint network configuration to identify the part of the network in which the equipment failure is located. Specifically, it is not readily discernable, from the error code alone whether a particular error or group of errors was introduced by shared feeder 520, one of the dedicated distribution sections 540 of PDN 500 or by one of the RU's themselves.

The present system provides a nonintrusive means to passively monitor the performance of a PDN system and to isolate the location of equipment failures in a PDN system, with minimal impact on the overall performance of the network. To do so, the present system recognizes and makes use of the fact that different equipment failures and the errors they produce manifest themselves in unique error distributions across all RU's over time. For example, a certain type of error distribution reveals that an equipment failure is located in the shared feeder section of the PDN, a different type of error distribution reveals that an equipment failure is located in one of the dedicated distribution sections of the PDN, and a still different type error distribution reveals that a failure lies within one of the RU's themselves.

Referring now to Figure 3, the HDT hardware used to generate and analyze the error distributions will now be described. To generate the error distribution, HDT 300 includes an error code generator 310, which generates multiple error codes, one for each RU, which are inserted into the downstream traffic path via

multiplexor 320. Each RU has a unique error code associated with it, and extracts and decodes the appropriate error code from the downstream traffic path. Each downstream error code corresponds to a data packet  
5 or message sent to an RU in the downstream traffic path and is generated using conventional error code generating techniques. It shall be understood that the present invention is not limited to the use of any particular type of error code, however some example  
10 error codes known in the art which can be used with the present invention include parity, Cyclic Redundance Check (CRC), Single Error Correction Double Error Detection (SEDED) codes, among others.

Figure 4 shows the relevant hardware of an RU 600.  
15 RU 600 receives the downstream traffic and the respective error code is decoded by decoder 610. Error code generator 640 receives the upstream data messages from the subscribers and generates a corresponding upstream error code using any of the known error code  
20 techniques. Error code generator 640 also receives the result of the downstream decode and modifies the generated upstream error code to indicate an error if a downstream error was received. Mux 630 inserts the upstream error code into a unique position in the  
25 upstream traffic path that is unique to that RU.

Referring again to Figure 3, the upstream error codes from each of the RU's are received at HDT 300 and the upstream error codes are decoded by decoder 340. HDT 300 includes processor 330 which receives and  
30 accumulates the upstream decode information from all the RU's over a specified period of time. Software data processing techniques shown and described below with respect to Figure 9 are used to generate an error distribution from the accumulated error data. Analysis  
35 and interpretation of the resulting error distribution via the software data processing techniques shown in Figure 9, as discussed below, reveals the number and

frequency of errors that occurred, and identifies the relative type, or "shape" of the resulting error distribution. Depending upon the type of error distribution identified, the location of the equipment failure is isolated to either the shared feeder 520 section of PDN 500, one of the distribution 540 sections of PDN 500, or one of the RU's 600.

To isolate the location of equipment failures, the present invention has discovered that four commonly occurring equipment failures in a point-to-multipoint PDN configuration result in four different types, or "shapes" of error distributions as seen across all RU's over time.

The process by which the present system analyzes and isolates the location of equipment failures in a point-to-multipoint configured network will now be described with respect to Figures 5-8 and the flow diagram shown in Figure 9. Figures 5-8 show representative error distributions associated with four commonly occurring equipment failures in a point-to-multipoint PDN network. It shall be understood that the example error distributions shown in Figures 5-8 are presented herein to show the general shape of the error distributions under certain equipment failure conditions and are not necessarily to scale.

The control software shown in flow diagram form in Figure 9 runs in processor 330 (shown in Figure 3). As shown in the flow diagram of Figure 9, the first processing step in the present system is to receive user settable parameters. In the preferred embodiment of the present invention, certain parameters are settable by the user to achieve the desired degree of accuracy required with a particular network application. For example, the minimum acceptable BER and an alarm triggering BER are both settable by the user for customizing the present system for a particular user or network needs and requirements. Assume for purposes of

discussion and not by way of limitation that the minimal acceptable Bit Error Rate, or BER, in the examples of Figures 5-8 is set at  $10^{-10}$ , and that the alarm triggering BER is set at  $10^{-3}$ .

5 Referring again to Figure 9, after the user settable parameters are received and programmed, the upstream error codes are received and decoded. The error data is accumulated over a predetermined period of time. After this time period is complete, an error distribution  
10 across all RU's is generated from the accumulated error data in accordance with conventional data processing techniques. Next, the resulting error distribution is analyzed to identify the type or "shape" of the error distribution. The type of error distribution identified  
15 indicates an isolated portion of the PDN network where a particular equipment failure is located. For types of error distributions which commonly occur in a point-to-multipoint network and the associated equipment failures indicated and isolated thereby will now be discussed.

20 Figure 5 shows a first type of error distribution. It shows an example of an even distribution of errors reported across all RU's. Notice that the BER is greater than  $10^{-10}$ , the minimal number of acceptable errors in this example. This error distribution reveals  
25 that shared feeder 520 section of PDN 500 is the source of the errors. This is because feeder 520 is common for all RU's 600 associated with that shared PDN. Therefore, an equipment failure in shared feeder 520 will cause errors to be randomly distributed across all  
30 RU's. Thus, the resulting distribution, such as that shown in Figure 5, shows an evenly distributed unacceptably high level of errors across all RU's.

The error handling procedure which occurs upon identifying this first type of error distribution and  
35 isolating the equipment failure shown in Figure 5 is shown in Figure 9. Preferably shared feeder 520 is equipment protected with a standby unit, and the

recognition of the equipment failure as determined by the present performance monitoring and failure isolation system can be used to cause a protection switch to the standby unit, thus minimizing network downtime. A type 1 alarm is raised by processor 330 to alert maintenance personnel that a type 1 equipment failure was detected and that a protection switch occurred so that the failed equipment can be appropriately repaired or replaced.

Figure 6 shows a second type of error distribution having an acceptable BER (e.g., less than  $10^{-10}$  in this example) for all RU's except one (RU number 56 in this example), which has an unacceptably high BER of almost  $10^{-3}$ . This error distribution reveals that some equipment associated with that RU, i.e., either the RU itself or the associated dedicated distribution path 540, is the location of the equipment failure. Because each distribution path 540 is dedicated to a single RU, equipment failures in a distribution section of PDN 500 cause errors to occur only in data messages traveling to or from that particular RU. Thus, the resulting error distribution such as that shown in Figure 6 shows an acceptable rate of errors for all RU's with an error peak at the particular RU with which the failure is associated. The error handling procedure for a type 2 error distribution is shown in Figure 9. An alarm is raised by processor 330 to notify maintenance personnel of the type 2 error found and identifying the suspect RU. In addition appropriate interactive maintenance routines known in the art are run by maintenance personnel to determine whether the equipment failure is located in the RU itself or in the dedicated distribution path.

For certain types of RU equipment failures, a failed RU erroneously reads from or writes into a TDM time slot assigned to a different RU. Such an equipment failure results in a dually peaked error distribution such as that shown in Figure 7. One of the BER peaks represents

the failed RU and the other BER peak represents the overwritten RU. This dually peaked error distribution indicates that an equipment failure is located in one of the RU's having a BER peak. The two peaks must be of the same magnitude to ensure that the problem is appropriately identified. If the problem is that an RU is reading or writing into another RU's time slot, the resulting errors apparent in the two RU's error distributions will be of the same magnitude. This magnitude is in the preferred embodiment settable by the user and is shown as  $10^{-3}$  in the example of Figure 7. When this type 3 error distribution occurs, the error handling procedure shown in Figure 9 raises an alarm to alert maintenance personnel of the type 3 error occurred. Appropriate interactive maintenance routines known in the art can be run by maintenance personnel between HDT 300 and the suspect RU's can be run to determine which of the suspect RU's contains the error causing equipment failure.

20 A fourth type of equipment failure results in an RU randomly reading to or writing from the TDM time slots of all the other RU's. Figure 8 shows a typical error distribution for this fourth type of equipment failure. Figure 8 reveals an unacceptably heavy BER across all RU's, with a BER error peak at one particular RU (72 in this example). If the errors represented by the error peak reach a certain level, then this error distribution reveals that the peaking RU itself contains an equipment failure. In Figure 8, for example, the number of errors occurring over all RU's represented by the error curve must be of the same magnitude as the number of errors represented by the error peak at RU 72. In other words, the total errors represented by shaded area 810 should be of the same magnitude as the total number of errors represented by shaded area 812. Checking the magnitude of these errors ensures that the correct problem is identified. If the magnitudes are comparable then the



problem can be properly identified as one RU (72 in the example of Figure 8) randomly writing or reading to the time slots of other RU's. If the magnitudes are not comparable, then the error distribution shown in Figure 5 8 may simply be a variation of the distribution shown in Figure 5. For the fourth type of equipment failure identified by the error distribution of Figure 8, an alarm is raised to alert maintenance personnel of the type of failure of which occurred and to identify the 10 suspect RU so that appropriate interactive maintenance routing known in the art between the RU and the head end can be performed, as shown in Figure 9.

The above described performance monitoring and failure isolation system enjoys several advantages over 15 existing methods. For example, the present method raises an immediate alarm condition to report errors. The present invention also passively and unobtrusively monitors the signal integrity across the PDN with only minimal impact on overall network performance. This is 20 opposed to existing schemes which down the entire PDN network and initiate interactive polling of all RU's to determine the location of the problem, resulting in extreme and undesirable reductions in network performance.

25 The information obtained by the performance monitoring and failure isolation system of the present invention is very useful for protection switching. For example, if the error distribution reveals that the error source is located in shared feeder section 520, 30 that information can be used to initiate a switch to a standby shared feeder unit as described above.

In most PDN systems, the downstream and upstream passive distribution media are switched together as pairs. Thus for most applications the present invention 35 need only locate which section, either shared feeder or distribution, contains the equipment failure and not whether the error source is in the downstream or

upstream data traffic path.

However, the present invention does provide a means for determining whether the error source is in the downstream or upstream data traffic path for those applications such as maintenance and troubleshooting where such information is required. Upon receipt and decode of the downstream error code, each RU sets an internal error flag, shown in Figure 4, indicating whether a downstream error was received. Later, if HDT 300 receives error indication from the RU, HDT 300 can interactively poll the respective RU according to the flow diagram shown in Figure 10 to determine whether a downstream error occurred. The HDT 300 polls the RU to get the value of the RU internal error flag. If the flag is set, the error occurs in the downstream traffic path. If the error flag is not set, no downstream error occurred, meaning that the error was introduced in the upstream traffic path. Maintenance procedures appropriate to the given problem can be performed by maintenance personnel.

It shall be understood that the present performance monitoring and failure isolation system is not dependent on any particular type of error detection code used. The type of error detection selected will typically depend on the network's available bandwidth. For example, the typical network has little additional bandwidth available for insertion of error detection codes. In that case, a parity error detection scheme, i.e., a single bit error detection code, is advantageous. It is conceivable, however, that some PDN networks have more bandwidth available. In such a case, a more complex error detection/correction scheme requiring more bits, such as Single Error Correction Double Error Detection (SECDED), Cyclic Redundancy Check (CRC), or other more complex error codes could be used.

Moreover, the type of error detection mechanism implemented does not change the fundamental nature of

the point-to-multipoint performance monitoring and failure isolation system of the present invention. The use of either simple error schemes such as parity type codes and the use of more complex error

- 5 detection/correction codes are anticipated in and are within the scope of the present invention.

The specific type of error distribution analysis or data processing methods used on the received error codes is also not a limiting factor in the present invention.

- 10 Although the preferred embodiment uses, among other methods, an analysis of looking for a predetermined threshold of the proportion of total errors to the proportion of errors associated with a particular RU, many different types of analysis on the error data could  
15 be performed to arrive at the same result without departing from the spirit and scope of the present invention.

- It shall also be understood that various aspects of the performance monitoring and failure isolation system  
20 of the present invention may be used individually if desired to achieve differing levels of functionality in a particular system. For example, if it is not necessary to differentiate downstream or upstream equipment failures, the system could be assembled  
25 without the RU error flag. Also, generation, decode and analysis of the upstream error codes alone could be used to achieve reduced levels of performance monitoring and failure isolation.

- Although a specific embodiment has been illustrated  
30 and described for the preferred embodiment of the present invention set forth herein, it will be readily apparent to those of skill in the art that many modifications and alterations to the preferred embodiment as described herein are possible without  
35 deviating from the scope and spirit of the present invention. Special conditions employed for the implementation of the preferred embodiment are not

intended to be limiting and are easily adaptable to alternate implementations. For example, the control structure of the present invention could be implemented using microprocessor based architectures and logic functions, including the use of external computer control, RAM microcode control, PLA or PAL logic structures and hardwired or software controlled state machines. Furthermore, the present invention is in no way limited to a certain analytical method used to arrive at and interpret the error distribution across the PDN. Thus, it will be understood that many modifications will be readily apparent to those of ordinary skill in the art, and that this application is intended to cover any adaptations or variations thereof. Therefore, it is manifestly intended that this invention be limited only by the claims and the equivalents thereof.

## WHAT IS CLAIMED IS:

1. A system for performance monitoring a network and isolating the location of equipment failures therein, the network including a head end and a  
5 plurality of remote units connected with a distribution means for transmitting data messages between the head end and the plurality of remote ends, comprising:  
first generating means in the head end for  
generating a plurality of first error codes based on a  
10 plurality of downstream data messages;  
a plurality of first decoding means, each in a different one of the plurality of remote units, each for decoding one of the plurality of first error codes and determining therefrom whether a downstream error  
15 occurred;  
a plurality of generating means, each in a different one of the plurality of remote units, each for generating one of a plurality of error codes corresponding to an upstream data message;  
20 decoding means in the head end for decoding the plurality of error codes received from the plurality of remote units and producing therefrom a plurality of error data;  
processing means in the head end for producing an  
25 error distribution from the plurality of error data; and  
means for analyzing the error distribution to isolate the location of equipment failures.
2. The system of claim 1 wherein the distribution  
30 means further includes:  
a shared feeder connected to the head end; and  
a plurality of distribution branches, each connected to the shared feeder and to a different one of the plurality of remote units.
- 35 3. The system of claim 2 wherein the means for analyzing further includes isolating the equipment

failure to the shared feeder, to one of the distribution branches, or to one or more of the plurality of remote units.

- 5 4. The system of claim 2 wherein the means for analyzing further includes means for identifying when the error distribution takes the form of a uniform and unacceptably high level of errors across all remote units.

10

5. The system of claim 4 wherein the means for analyzing further includes means for isolating the equipment failure to the shared feeder.

- 15 6. The system of claim 2 wherein the means for analyzing further includes means for identifying when the error distribution takes the form of an unacceptably high level of errors for two of the plurality of remote units and an acceptable level of errors for the  
20 remaining plurality of remote units.

7. The system of claim 6 wherein the means for analyzing further includes means for isolating the equipment failure to the two remote units having the  
25 unacceptably high level of errors.

8. The system of claim 7 wherein the means for analyzing further includes means for identifying when the magnitudes of the unacceptably high level of errors  
30 for the two of the plurality of remote units are equivalent.

9. The system of claim 2 wherein the means for analyzing further includes means for identifying when  
35 the error distribution takes the form of an unacceptably high level of errors for one of the plurality of remote units and an acceptable level of errors for the

remaining plurality of remote units.

10. The system of claim 9 wherein the means for analyzing further includes means for isolating the equipment failure to the remote unit having the unacceptably high level of errors or to the distribution branch connected thereto.
11. The system of claim 2 wherein the means for analyzing further includes means for identifying when the error distribution takes the form of a first unacceptably high level of errors for one of the plurality of remote units and a second unacceptably high level of errors for the remaining plurality of remote units, wherein the second unacceptably high level of errors is less than the first unacceptably high level of errors.
12. The system of claim 11 wherein the means for analyzing further includes means for isolating the equipment failure to the remote unit having the first unacceptably high level of errors.
13. The system of claim 12 wherein the means for analyzing further includes means for identifying when the first unacceptably high level of errors is of the same magnitude as the total number of errors occurring across all the remaining plurality of remote units.
14. The system according to claim 4 wherein the means for analyzing further includes means for determining whether the unacceptably high level of errors is reached by comparison to a user settable maximum acceptable bit error rate.
15. The system according to claim 11 wherein the means for analyzing further includes means for

determining whether the first unacceptably high level of errors is reached by comparison to a user settable alarm triggering bit error rate, and for determining whether the second unacceptably high level of errors is reached  
5 by comparison to a user settable maximum acceptable bit error rate.

16. The system of claim 1 wherein the distribution means is comprised of optical fiber.

10

17. A system for isolating the location of equipment failures in a telecommunications system including a head end, a plurality of remote ends and a distribution means for transmitting messages between the  
15 head end and the plurality of remote units, the distribution means including a shared feeder section connected to the head end and a plurality of distribution sections each connected to the shared feeder and to a different one of the plurality of remote  
20 units, the system comprising:

means in the head end for generating a plurality of downstream error codes, each based on a different one of a plurality of downstream messages;

means in each of the remote units for receiving a  
25 different one of the plurality of downstream messages and the respective one of the plurality of downstream error codes;

means in each of the remote units for decoding the received downstream error code and determining therefrom  
30 whether a downstream error occurred;

error flag means in each of the remote units for indicating whether a downstream error occurred;

means in each of the remote units for generating one of a plurality of upstream error codes each based on one  
35 of a plurality of upstream messages and on the decoded downstream error code;

means in the head end for receiving the plurality of



upstream messages and the plurality of upstream error codes;

means in the head end for decoding the plurality of upstream error codes, determining therefrom whether any  
5 upstream errors occurred, and producing therefrom upstream error data;

accumulating means for accumulating the upstream error data over a selected period of time and producing therefrom accumulated error data;

10 means for producing an error distribution across the plurality of remote ends from the accumulated error data; and

means for analyzing the error distribution and identifying therefrom a shape of the error distribution  
15 indicative of the location of an equipment failure.

18. The system according to claim 17 further including means for setting a maximum acceptable bit error rate.

20

19. The system according to claim 18 further including means for setting an alarm triggering bit error rate.

25 20. The system according to claim 18 wherein the maximum acceptable bit error rate is user settable.

21. The system according to claim 19 wherein the alarm triggering bit error rate is user settable.

30

22. The system according to claim 18 wherein the means for analyzing further includes means for identifying when the error distribution shows a uniform level of errors across the plurality of remote units  
35 that is higher than the maximum acceptable bit error rate.

23. The system according to claim 22 wherein the means for analyzing further includes means for isolating the equipment failure to the shared feeder section.

5 24. The system according to claim 19 wherein the means for analyzing further includes means for identifying when the error distribution shows a first level of errors associated with one of the plurality of remote units that is higher than the alarm triggering  
10 bit error rate and a uniform level of errors across the remaining plurality of remote units at a second level that is lower than the maximum acceptable bit error rate.

15 25. The system according to claim 24 wherein the means for analyzing further includes means for identifying when the equipment failure to the one of the plurality of remote units having the first level of errors or to the distribution section connected thereto.

20

26. The system according to claim 19 wherein the means for analyzing further includes means for identifying when the error distribution shows a first level of errors associated with two of the plurality of  
25 remote units that is higher than the alarm triggering bit error rate and a uniform level of errors across the remaining plurality of remote units at a second level that is lower than the maximum acceptable bit error rate.

30

27. The system according to claim 26 wherein the means for analyzing further includes means for identifying when the equipment failure to the two of the plurality of remote units having the first level of  
35 errors or to the distribution sections connected thereto.

28. The system according to claim 18 wherein the means for analyzing further includes means for identifying when the error distribution shows a first level of errors associated with one of the plurality of remote units that is higher than the maximum acceptable bit error rate and a uniform level of errors across the remaining plurality of remote units at a second level that is higher than the maximum acceptable bit error rate and lower than the first level of errors.

10

29. The system according to claim 28 wherein the means for analyzing further includes means for isolating the equipment failure to the one of the plurality of remote units having the first level of errors or to the distribution section connected thereto.

15

30. The system according to claim 17 further including means for polling the error flag means to determine whether a downstream or an upstream error occurred.

20

31. A method of analyzing an error distribution to isolate the location of equipment failures in a communications system, the communications system including a distribution network including a shared feeder connected to a head end and a plurality of distribution sections, each connected to the shared feeder and to a different one of a plurality of remote units, the method comprising the steps of:

25

- 30 (a) setting a maximum acceptable bit error rate; -  
(b) setting an alarm triggering bit error rate, wherein the alarm triggering bit error rate is higher than the maximum acceptable bit error rate;  
(c) detecting errors received from the plurality of remote units and generating therefrom a set of error data;  
35 (d) generating an error distribution from a set of

error data received from a plurality of remote units;

(e) comparing the error distribution with the maximum acceptable bit error rate and the alarm triggering bit error rate; and

- 5 (f) isolating an equipment failure based on the outcome of comparing step (e).

32. The method according to claim 31 wherein isolating step (f) further includes the step of  
10 isolating a first equipment failure if the result of comparison step (d) shows an even distribution of errors across all remote units at a level that is higher than the maximum acceptable bit error rate.

15 33. The method according to claim 32 wherein isolating step (f) further includes the step of isolating the equipment failure to the shared feeder section.

20 34. The method according to claim 31 wherein isolating step (f) further includes the step of isolating a second equipment failure if the result of comparison step (e) shows a level of errors that is higher than the alarm triggering bit error rate for one  
25 of the plurality of remote units and an even distribution of errors across the remaining plurality of remote units at a level that is lower than the maximum acceptable bit error rate.

30 35. The method according to claim 34 wherein isolating step (f) further includes the step of isolating the equipment failure to the one of the plurality of remote units.

35 36. The method according to claim 31 wherein isolating step (f) further includes the step of isolating a third equipment failure if the result of

comparison step (e) shows a level of errors that is higher than the alarm triggering bit error rate for two of the plurality of remote units and an even distribution of errors across the remaining plurality of remote units at a level that is lower than the maximum acceptable bit error rate.

37. The method according to claim 34 wherein isolating step (f) further includes the step of isolating the equipment failure to the two of the plurality of remote units.

38. The method according to claim 31 wherein isolating step (f) further includes the step of isolating a fourth equipment failure if the result of comparison step (e) shows a first rate of errors for one of the plurality of remote units that is higher than the maximum acceptable bit error rate and an even distribution of errors across the remaining plurality of remote units at a second rate of errors that is higher than the maximum acceptable bit error rate, wherein the second rate of errors is lower than the first rate of errors.

39. The method according to claim 38 wherein isolating step (f) further includes the step of isolating the equipment failure to the one of the plurality of remote units.

40. A method for monitoring the performance of a telecommunications system connected in a point-to-multipoint configuration, and for isolating the location of equipment failures therein, the telecommunications system including a distribution network including a shared feeder section connected to a head end and a plurality of distribution sections each connected to the shared feeder section and to a different one of a

plurality of remote units, the method comprising the steps of:

- (a) generating a plurality of first error codes based on a plurality at the head end of downstream messages;  
5
- (b) transmitting each of the plurality of error codes to a different one of a plurality of remote units over the distribution network;
- (c) decoding at each remote unit each of the error codes and downstream messages to determine whether any downstream errors occurred;  
10
- (d) generating at each remote unit a plurality of second error codes based on the decoded downstream error codes and on a plurality of upstream messages, wherein each of the plurality of second error codes is generated in a different one of the plurality of remote units;  
15
- (e) transmitting the plurality of second error codes and corresponding upstream messages to the head end over the distribution network;
- (f) accumulating the plurality of error codes received from the plurality of remote units over a selected period of time;  
20
- (g) generating an error distribution from the accumulated error codes; and
- (h) analyzing the error distribution and isolating therefrom the location of equipment failures.  
25

41. The method according to claim 40 further including the step of setting a downstream error flag in the remote unit if the result of decoding step (c) -  
30 indicates that a downstream error occurred.

42. The method according to claim 41 further including the step of polling the downstream error flag from the head end to determine whether an equipment failure is in a downstream traffic path or an upstream traffic path.  
35

43. The method according to claim 40 further including the steps of:

- (i) setting a maximum acceptable bit error rate;
- 5 (j) setting an alarm triggering bit error rate, wherein the alarm triggering bit error rate is higher than the maximum acceptable bit error rate;
- (k) comparing the error distribution with the maximum acceptable bit error rate and the alarm
- 10 triggering bit error rate;
- (l) isolating an equipment failure based on the outcome of comparing step (k).

44. The method according to claim 43 wherein said

15 isolating step (l) further includes the step of isolating a first equipment failure if the result of comparison step (k) shows an even distribution of errors across all remote units at a rate that is higher than the maximum acceptable bit error rate.

20

45. The method according to claim 44 wherein isolating step (l) further includes the step of isolating the equipment failure to the shared feeder section.

25

46. The method according to claim 43 wherein said isolating step (l) further includes the step of isolating a second equipment failure if the result of comparison step (k) shows a rate of errors that is

30 higher than the alarm triggering bit error rate for one of the plurality of remote units and an even distribution of errors across the remaining plurality of remote units at a rate that is lower than the maximum acceptable bit error rate.

35

47. The method according to claim 46 wherein isolating step (l) further includes the step of

isolating the equipment failure to the one of the plurality of remote units.

48. The method according to claim 43 wherein said  
5 isolating step (l) further includes the step of  
isolating a third equipment failure if the result of  
comparison step (k) shows a rate of errors that is  
higher than the alarm triggering bit error rate for two  
of the plurality of remote units and an even  
10 distribution of errors across the remaining plurality of  
remote units at a rate that is lower than the maximum  
acceptable bit error rate.

49. The method according to claim 48 wherein  
15 isolating step (l) further includes the step of  
isolating the equipment failure to the two of the  
plurality of remote units.

50. The method according to claim 43 wherein said  
20 isolating step (l) further includes the step of  
isolating a fourth equipment failure if the result of  
comparison step (k) shows a first rate of errors that is  
higher than the maximum acceptable bit error rate for  
one of the plurality of remote units and an even  
25 distribution of errors across the remaining plurality of  
remote units at a second rate of errors that is higher  
than the maximum acceptable bit error rate, wherein the  
second rate of errors is lower than the first rate of  
errors.

30

51. The method according to claim 50 wherein  
isolating step (l) further includes the step of  
isolating the equipment failure to the one of the  
plurality of remote units.

35

52. A system for monitoring the performance of and  
isolating equipment failures in a telecommunications



network, the telecommunications network including a head end connected to a plurality of remote units by a passive optical network, the passive optical network comprised of a first optical fiber connected to the head  
5 end and a plurality of second optical fibers, each connected to the first optical fiber and to a different one of the plurality of remote units, the system comprising:

- a first error code generator in the head end;
- 10 a plurality of first decoders, each in a different one of the plurality of remote units, each connected to receive and decode one of a plurality of first error codes;
- a plurality of second error code generators, each in  
15 a different one of the plurality of remote units;
- a second decoder in the head end connected to receive and decode a plurality of second error codes;
- processing means in the head end, connected to receive the plurality of second error codes, for  
20 producing an error distribution from the plurality of second error codes; the processing means further for identifying a particular type of error distribution and isolating therefrom the location of equipment failures in the telecommunications network.

25

53. A method of analyzing an error distribution to isolate the location of equipment failures in a point-to-multipoint telecommunications network, comprising the steps of:

- 30 (a) identifying a type of error distribution;
- (b) isolating from the type of error distribution identified in step (a) the location of equipment failures in the telecommunications network.

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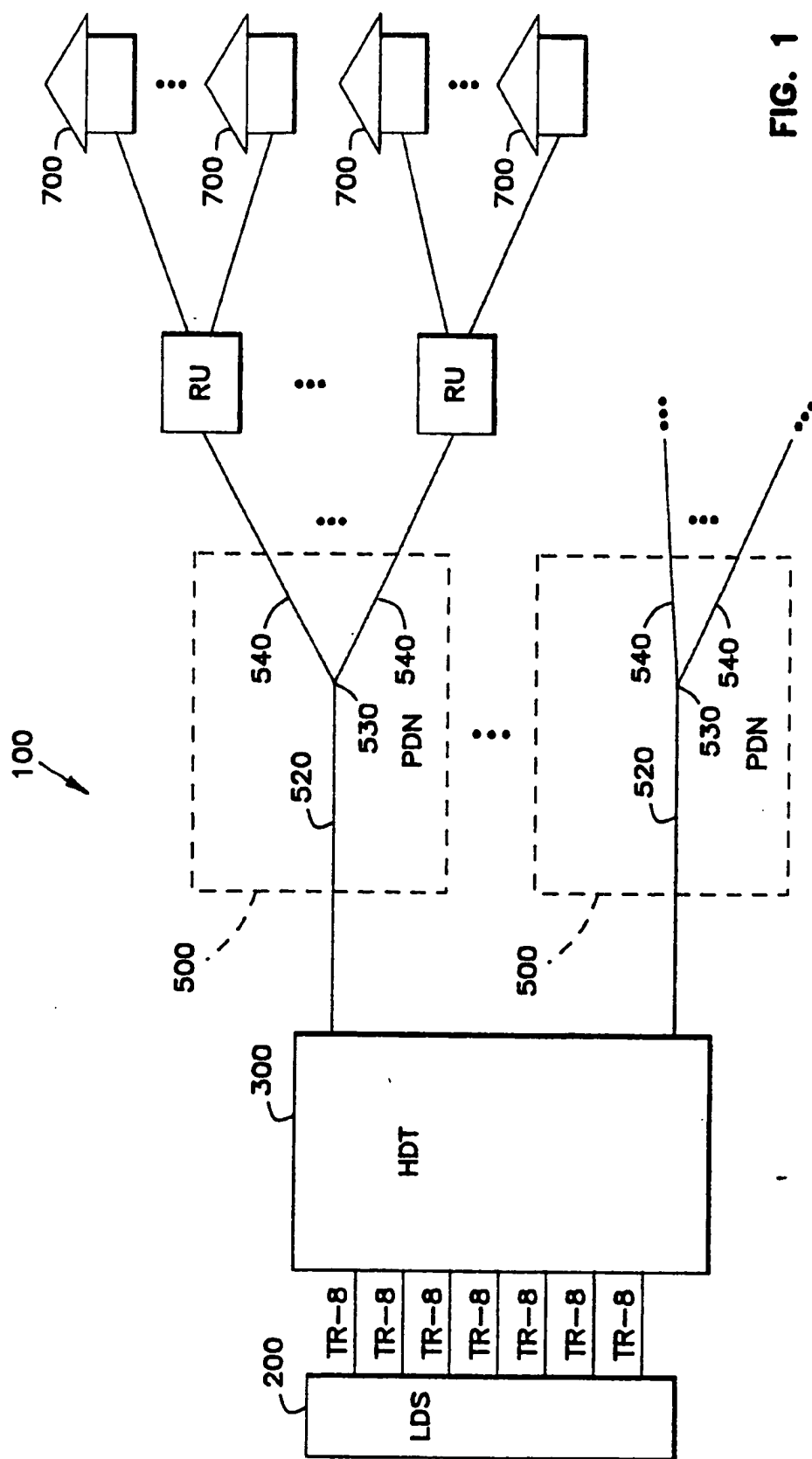


FIG. 1

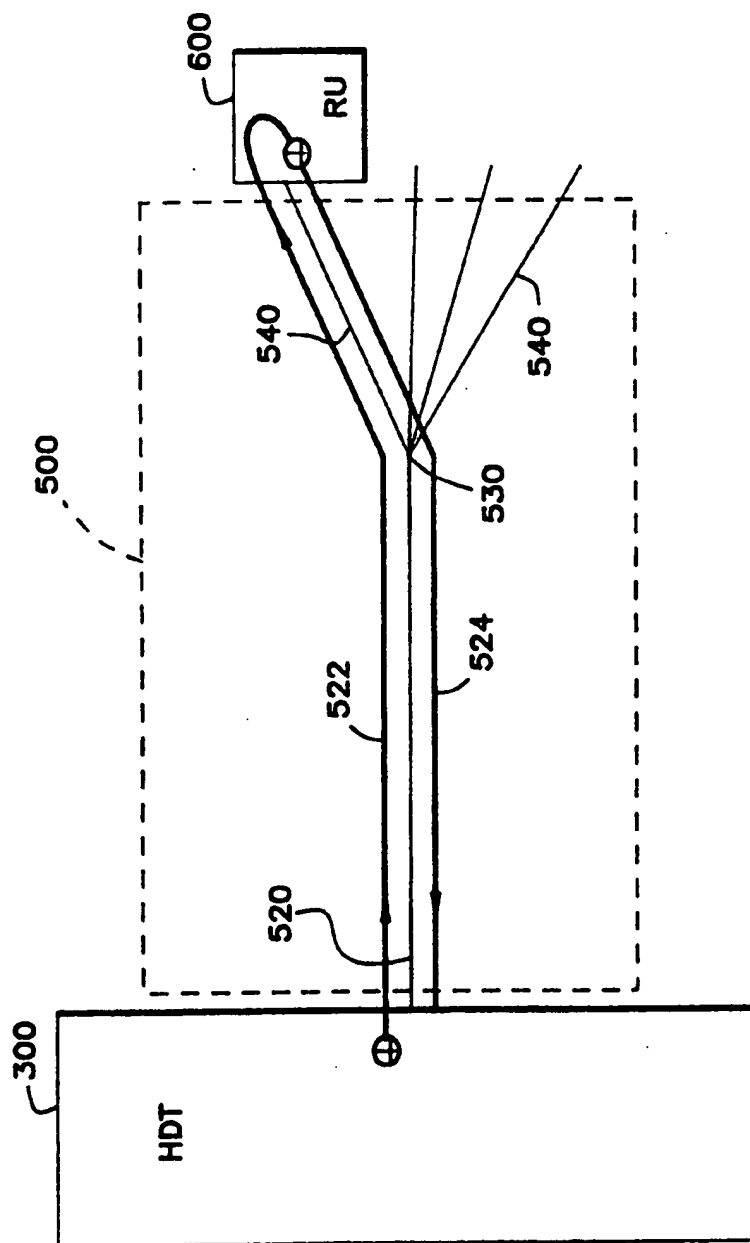
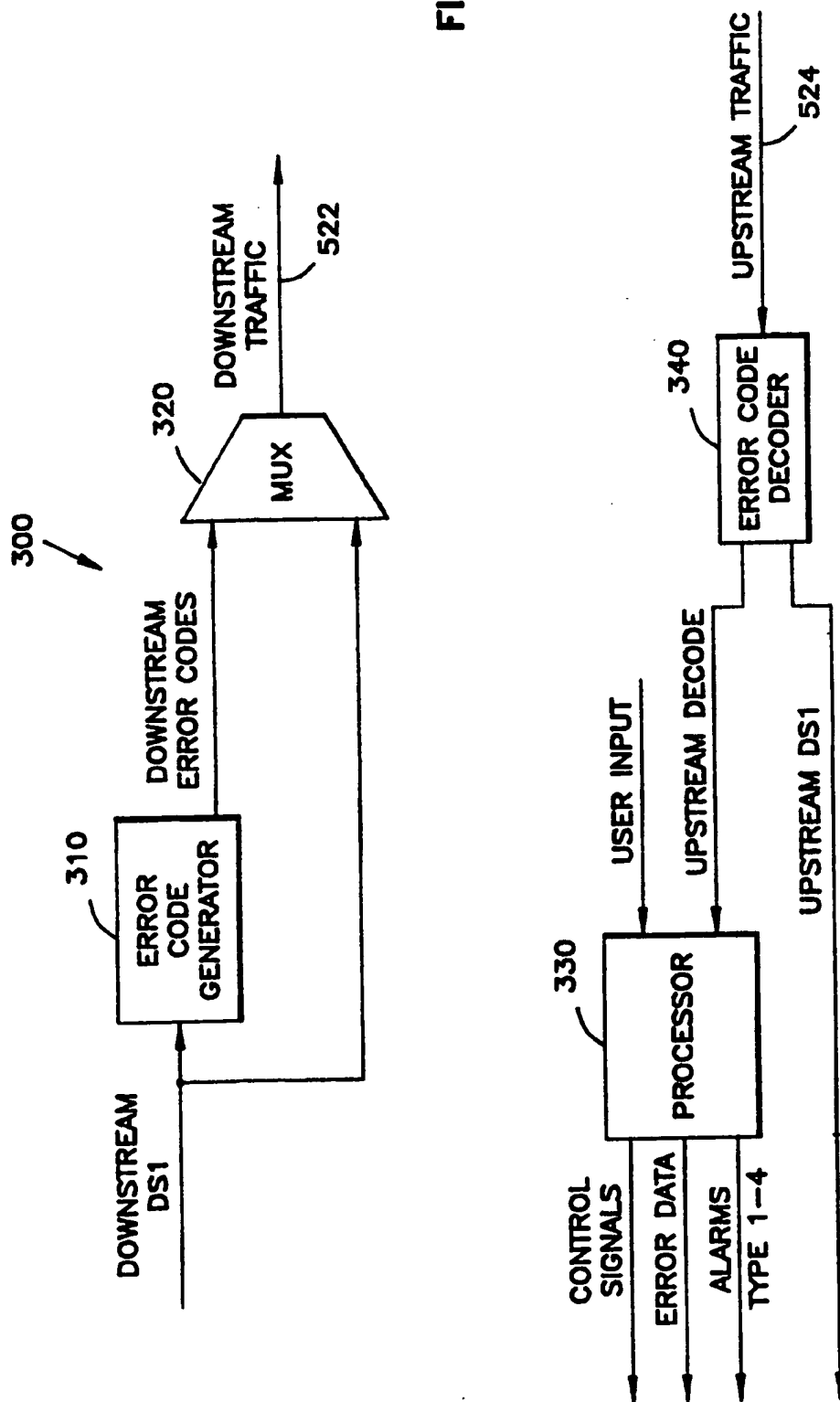


FIG. 2

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FIG. 3



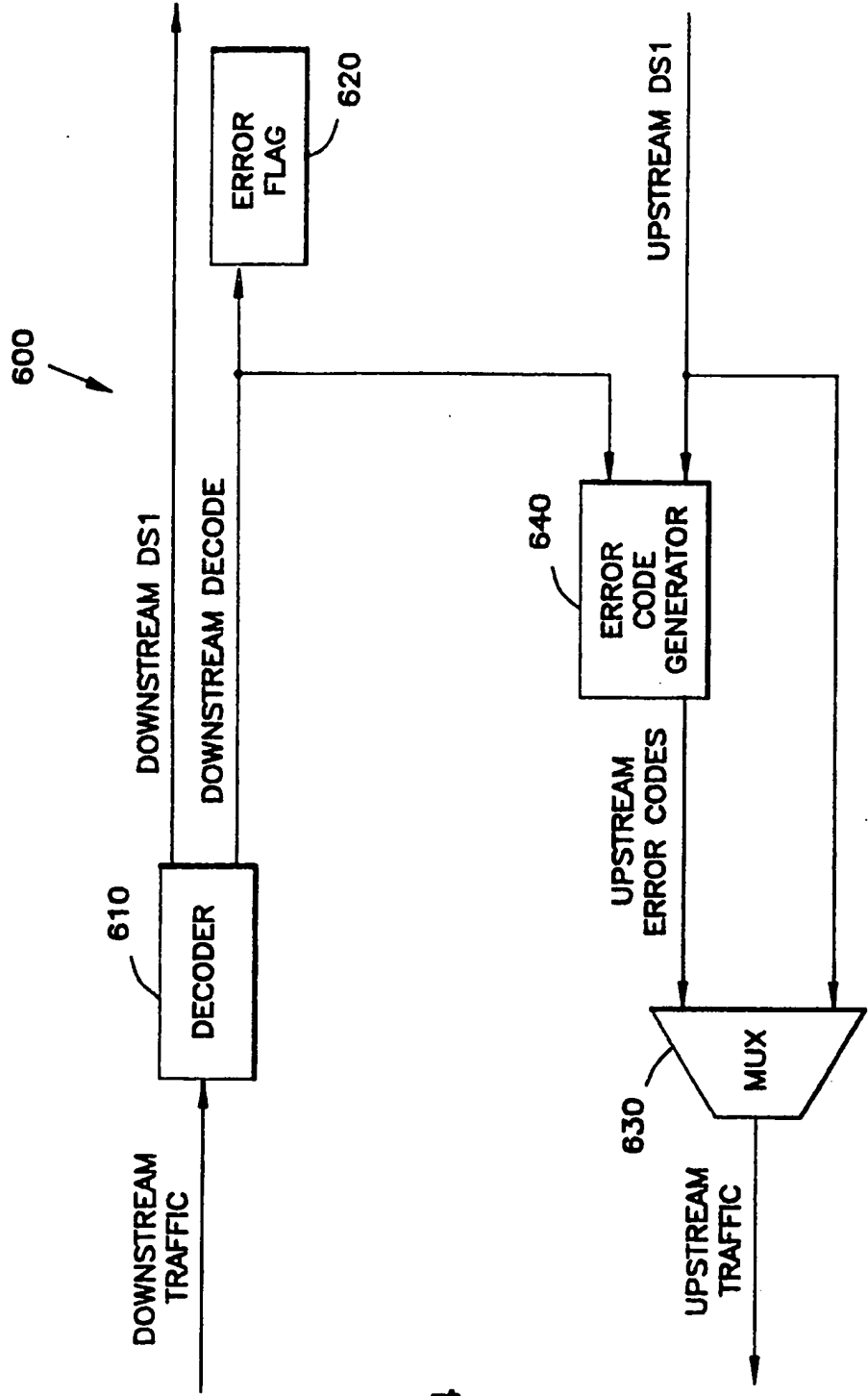
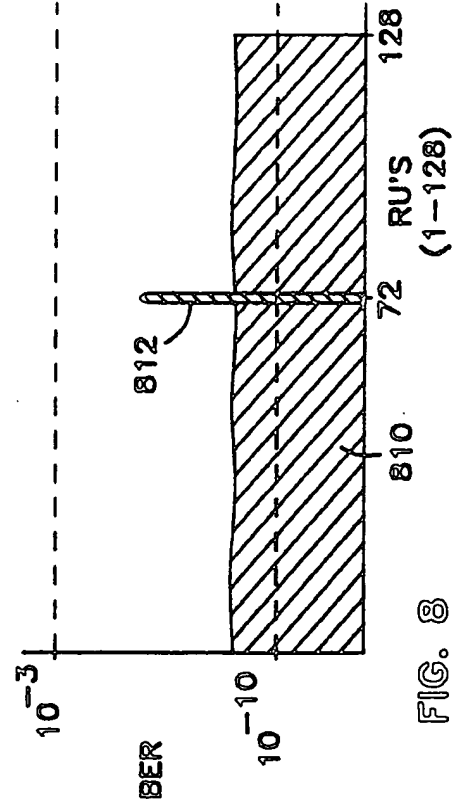
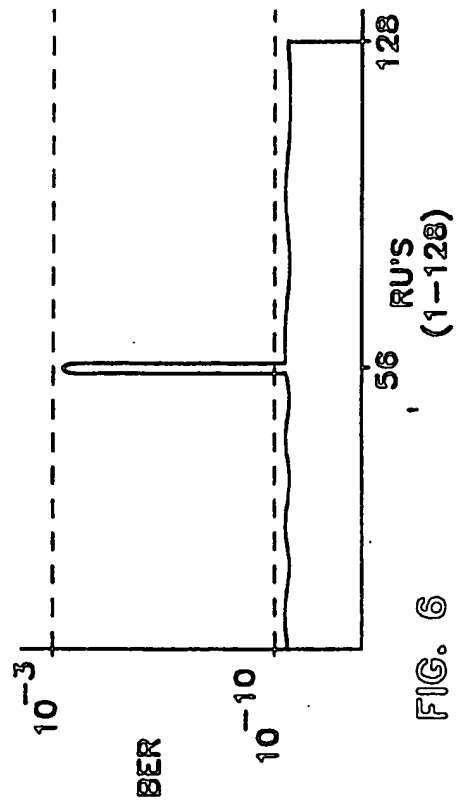
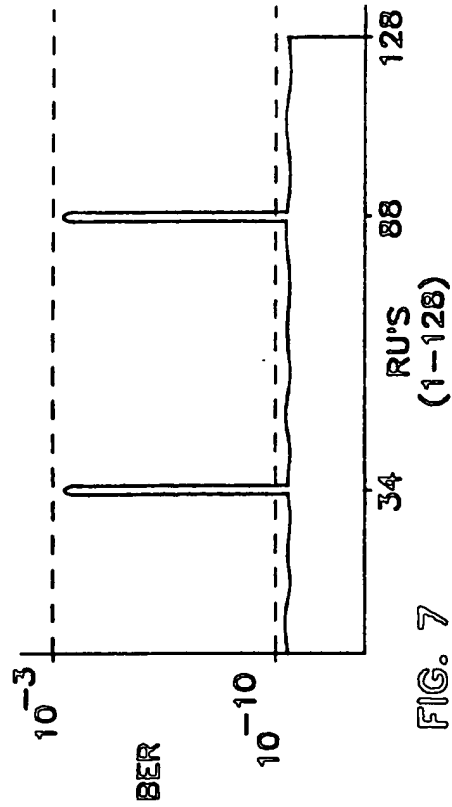
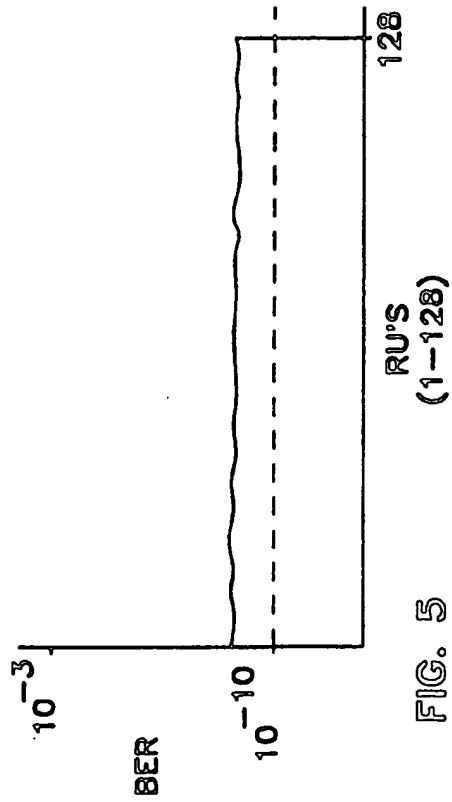
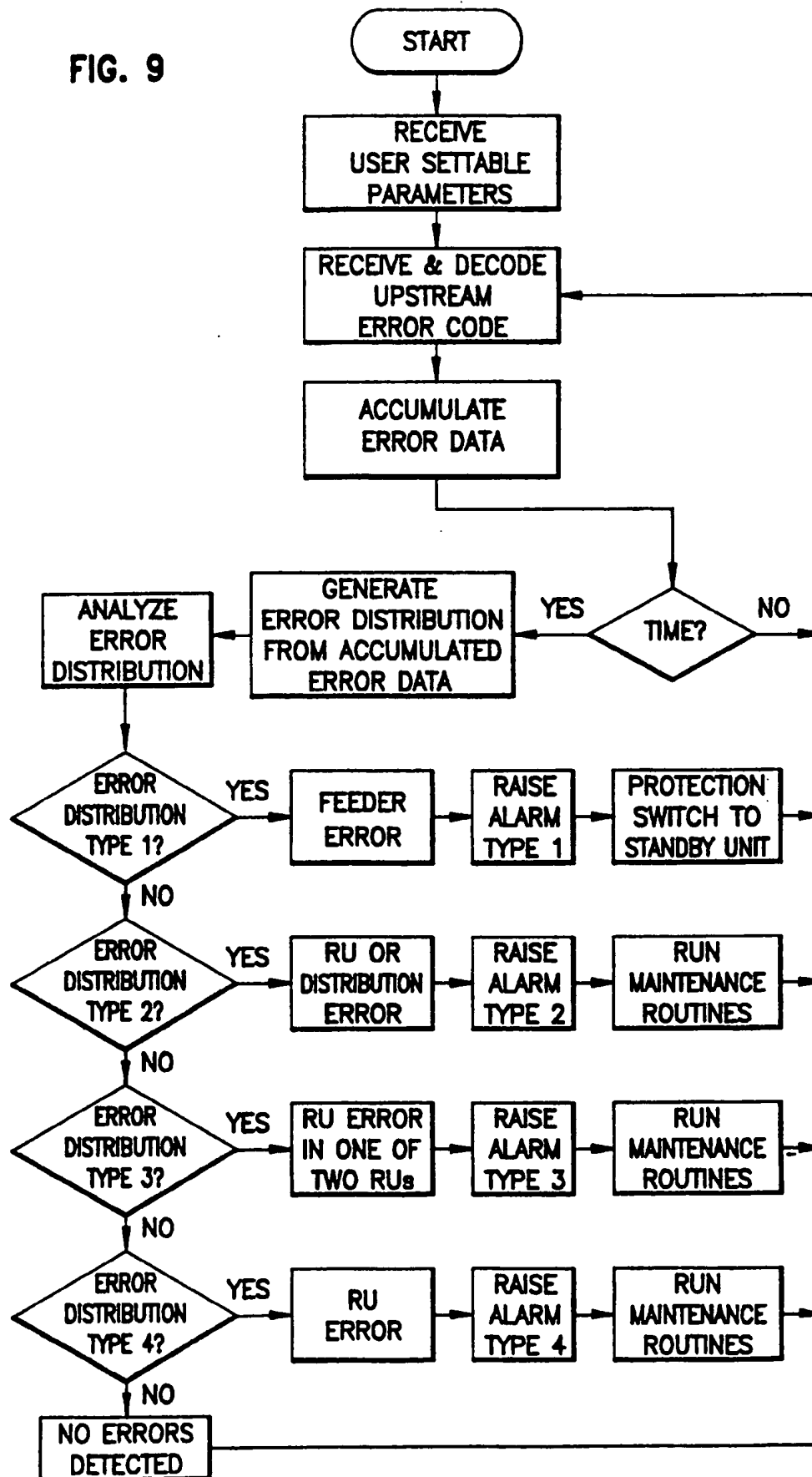


FIG. 4

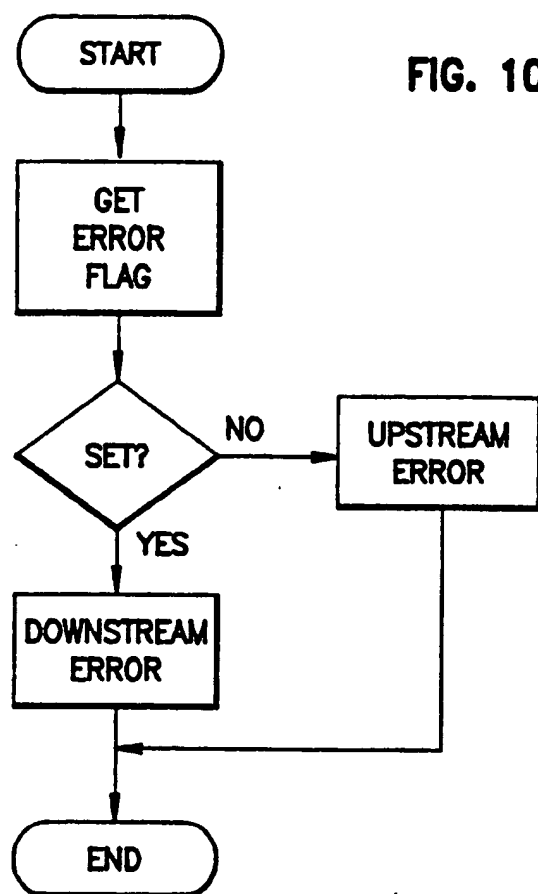


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FIG. 9



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## INTERNATIONAL SEARCH REPORT

Inter. Appl. No.

PCT/US 93/11048

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 5 H04L1/24 H04L12/26

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	DE,A,37 19 283 (ROBERT BOSCH GMBH) 22 December 1988 see abstract  see claims 1-5 see page 2, line 42 - page 3, line 9 see page 3, line 45 - line 62 see page 4, line 3 - line 16 --- -/--	53  1,17,31, 40,52

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

10 March 1994

Date of mailing of the international search report

30. 03. 94

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/11048

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP,A,0 118 763 (HITACHI LTD.) 19 September 1984</p> <p>see abstract  see page 2, paragraph 2  see page 3, line 8 - line 19  see page 3, line 25 - page 5, line 5  see page 7, line 1 - page 9, line 17  see claims; figures 1,2  ---</p>	<p>1,14,  16-18,  20,31,  40,41,  52,53</p>
A	<p>WO,A,93 00760 (SIEMENS AG) 7 January 1993</p> <p>see abstract  see page 2, line 14 - page 5, line 19  ---</p>	<p>1,17,31,  40,52,53</p>
A	<p>IBM TECHNICAL DISCLOSURE BULLETIN.  vol. 32, no. 48 , September 1989 , NEW YORK US  pages 201 - 203 XP67014  'Data Switch Error Isolation and Reporting.'  see page 202, line 11 - page 203, last paragraph; figure 1; table 2  ---</p>	<p>1,17,31,  40,52,53</p>
A	<p>GB,A,2 254 984 (HOCHIKI K.K.) 21 October 1992</p> <p>see abstract; figures 1,11  see page 2, paragraph 2 -paragraph 4  see page 3, paragraph 2 -paragraph 3  see page 4, line 6 - page 5, paragraph 4  -----</p>	<p>1,17,30,  31,40,  42,52,53</p>

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